



ATTORNEY DOCKET NO. 041094-5022

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
Kenichiro UDA, et al. )  
Application No.: Unassigned ) Group Art Unit: 1764  
Filed: October 2, 2003 ) Examiner: Unassigned

For: WATER-SOLUBLE LUBRICANT FOR WARM OR HOT METAL FORMING

Commissioner for Patents  
**MAIL STOP PATENT APPLICATION**

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached PTO-1449. This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application.

A copy of each listed document is attached.

English language abstracts, submitted herewith, constitute concise statements of relevance for Japanese Patent Publication Nos. 59-064698, 60-001293, 61-103996, 62-050396 and 62-081493.

The following are listed on the accompanying PTO-1449 and are in a language other than English.

a. JP-A-55-139498, JP-A- 58-84898 and JP-A-58-52395. The relevance of this document is discussed at page 3 of the specification of the present application.

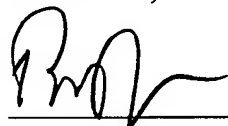
b. JP-A-63-89592. The relevance of this document is discussed at page 4 of the specification of the present application.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed document is material or constitutes "prior art." If it should be determined that the listed document does not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such document. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed document, should the document be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 50-0310.

Respectfully submitted,

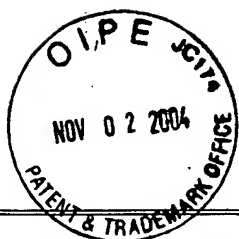
**MORGAN, LEWIS & BOCKIUS LLP**



Robert J. Goodell, Reg. No. 41,040

Date: November 2, 2004

**MORGAN, LEWIS & BOCKIUS LLP**  
**1111 Pennsylvania Avenue, N.W.**  
**Washington, D.C. 20004**  
**(202) 739-3000**

**INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

**PTO Form 1449**

Attorney Docket No.

041094-5022

Serial No.

Unassigned

72930  
10/676,250

Applicants

Kenichiro UDA, et al.

Filing Date

October 2, 2003

Group

Unassigned

**U.S. PATENT DOCUMENTS**

*Examiner Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
	3,925,214	December 9, 1975	LIVINGSTON, et al.			
	4,401,579	August 30, 1983	KRATZER			
	4,409,113	October 11, 1983	BERTELL			
	4,454,050	June 12, 1984	BERTELL			

**FOREIGN PATENT DOCUMENTS**

Document Number	Date	Country	Class	Sub Class	Translation YES NO	
59-064698	December 4, 1984	Japan			Abstract	
60-001293	January 7, 1985	Japan			Abstract	
61-103996	May 22, 1986	Japan			Abstract	
62-050396	March 5, 1987	Japan			Abstract	
62-081493	April 14, 1987	Japan			Abstract	
55-139498	October 31, 1980	Japan				
58-84898	May 21, 1983	Japan				
58-52395	March 28, 1983	Japan				
63-89592	April 20, 1988	Japan				

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


Examiner	Date Considered
Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	